

TRANSLATION FROM GERMAN ORIGINAL; ONLY THE GERMAN ORIGINAL IS BINDING AND VALID

**Information on Data Protection in connection with the Annual General Meeting of  
Raiffeisen Bank International AG on  
31 March 2022**

Raiffeisen Bank International AG processes the personal data of shareholders or their proxies and other persons attending the General Meeting (the "participants"), in particular name, address, date of birth, registration number of legal entities, securities account number, number of shares, voting card number, e-mail address and where applicable telephone number, on the basis of the applicable data protection laws and the Stock Corporation Act (*Aktiengesetz*) in order to enable them to exercise their rights at the General Meeting. In the event of physical participation and if required by the authorities on the day of the General Meeting, the validity and period of validity of proof of low epidemiological risk, barcodes and QR codes will be verified on the basis of the protective measures to prevent the spread of Covid-19 applicable at the time of the Annual General Meeting. This data will be processed in line with the applicable legal requirements.

Raiffeisen Bank International AG, Am Stadtpark 9, 1030 Vienna ([www.rbinternational.com/imprint](http://www.rbinternational.com/imprint)), is responsible for the processing. The legal basis for the processing is compliance with legal obligations pursuant to Article 6 (1) c) of the General Data Protection Regulation and pursuit of legitimate interests of the Company or those of a third party within the meaning of Article 6 (1) f) of the General Data Protection Regulation.

The Company processes personal data in order to prepare and conduct the General Meeting, as well as perform related follow-up activities. This affects data processed for the participants' registration and connection to the General Meeting (e.g. checking authorization for exercising shareholder rights and for connection via the AGM portal, as well as the compilation of a list of participants) and for enabling shareholders and their proxies to exercise their rights in the framework of and in connection with the General Meeting (including the granting and revoking of proxies and instructions). In particular, the Company also processes data on voting behavior in so far as participants exercise their voting rights at the General Meeting, in order to ensure the proper resolution and evaluation of votes at the General Meeting.

Moreover, the Company processes information on any objections to resolutions of the General Meeting that are declared by participants during the General Meeting. Personal data is also processed to fulfill the Company's statutory duties to participants.

The Company obtains this data from sources including depository credit institutions (deposit certificates) or from the participants themselves when registering for the General Meeting, when requesting access data, on registration during the admission and access process and/or when appointing proxies and from input to the AGM portal. In

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principle, participants are obliged to provide the Company with the necessary information.

The service providers and processors of the Company, which are commissioned for the purpose of organizing the General Meeting (including, in particular, IT and back-office service providers such as Link Market Services GmbH, Siebensterngasse 32-34, 1070 Vienna), only receive personal data from the Company that is required for the execution of the commissioned services and process or determine this data exclusively in accordance with the instructions of the Company.

An audio recording is made during the General Meeting to facilitate the taking of minutes by the notary who records them.

The proxy present at the General Meeting, the notary and all shareholders participating online by way of connection through the AGM portal may examine the legally mandated list of participants and the personal data specified therein (including name, place of residence and number of shares).

In compliance with its legal obligations, the Company also passes on the personal data of participants to public authorities such as the commercial register or Financial Market Authority. A data transfer to third countries (states outside the European Economic Area - EEA) does not take place. With regard to physical participation in the General Meeting, if a positive case of Covid-19 is confirmed or suspected, the personal data collected will have to be submitted to the responsible institution for Austria, "1450 – die Gesundheitsnummer" (healthcare helpline number 1450).

All data collected using cookies, device identification and similar processes is always used in anonymized form by the Company and is not amalgamated with stored customer or profile data.

The Company temporarily stores the participant's IP address used for Internet access on every visit to the AGM portal as well as the pages that they visit in order that basic services such as access rights function.

In the whole range of services for the General Meeting, the Company uses the latest security standard (256-bit encryption). Data are encrypted directly on transmission and all information relevant for data protection purposes is stored in encrypted form in a secure database. In order that participants' access can be administered, a session cookie (which is deleted on closing the browser) is necessary.

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### **Cookies used for the AGM portal**

| <b>Cookie</b>  | <b>Description</b>                                                                            | <b>Storage period</b>            | <b>Classification</b> |
|----------------|-----------------------------------------------------------------------------------------------|----------------------------------|-----------------------|
| PHP session ID | Standard session identification for PHP                                                       | Deletion upon closing of browser | Necessary             |
| cookieaccepted | Cookie for saving the consent to cookie functionality in order that this be hidden from view. | 10 days                          | Necessary             |

### **Browser settings**

Participants can refuse the storage of cookies by websites and applications on their end devices or adjust their browser settings. In the latter case, they will receive a warning before cookies are stored. Participants can also adjust their settings so that their browser either rejects all cookies or only third-party cookies. They can also delete cookies that have already been stored at an earlier time. It should be noted that the settings must be adjusted separately for each browser and on each device used. If participants do not wish to use cookies, the Company would like to point out that it regrets that it cannot guarantee that its AGM portal will function properly without the use of cookies.

The data of the participants will be anonymized or deleted after the end of the respective applicable legal periods.

### **Processing of personal data in the event of physical participation in the General Meeting**

In accordance with the rules for preventing the spread of Covid-19 that are applicable at the time of the Annual General Meeting, i.e. in compliance with the stipulations of the Austrian COVID-19 Measures Act and the Epidemics Act 1950, as well as the ordinances of the Austrian Federal Ministry for Social Affairs, Health, Care and Consumer Protection and the ordinances of the Governor of Vienna that are applicable at the time of the General Meeting, the Company would be obliged to process the requisite personal data of participants who attend the General Meeting in person. The personal data that is processed will be stored in accordance with the current applicable rules and, if necessary, passed on to the relevant authorities for the purpose of preventing the spread of Covid-19. Personal data will be processed by the Company in compliance with the legal obligations pursuant to Article 6 (1) c) of the General Data Protection Regulation.

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## **Enforcement of rights**

All participants have the right to information, correction, deletion or restriction of the processing of personal data concerning them, a right of objection to the processing and the right to data portability in accordance with the conditions of data protection law. If the Company processes data to protect the legitimate interests of the Company or of a third party, participants are entitled to object to the processing of this data on grounds relating to their particular situation. In this case, the Company will no longer process the personal data in so far as proof cannot be provided that there are compelling legitimate grounds for the processing of data that outweigh the interests, rights and freedoms of the participants, or that the processing of data is necessary for the establishment, exercise or defense of legal claims.

Participants can exercise these rights with respect to Raiffeisen Bank International AG free of charge using the following contact details:

Raiffeisen Bank International AG  
Group Data Privacy & Quality Governance  
Am Stadtpark 9, 1030 Vienna, Austria  
[datenschutz@rbinternational.com](mailto:datenschutz@rbinternational.com)

It is important to note that legal exceptions to exercising the rights of the affected persons (e.g. continued obligations to store data) may arise. In addition, participants have a right to lodge a complaint with the Austrian Data Protection Authority ([www.dsb.gv.at](http://www.dsb.gv.at)) pursuant to Article 77 of the General Data Protection Regulation.

Contact details of Raiffeisen Bank International AG's data protection officer:

Raiffeisen Bank International AG  
Am Stadtpark 9, 1030 Vienna, Austria  
[datenschutzbeauftragter@rbinternational.com](mailto:datenschutzbeauftragter@rbinternational.com)  
+43 (0)1 71 707-8603

There is no automated decision-making pursuant to Article 22 of the General Data Protection Regulation.

Further information on data protection can be found at [www.rbinternational.com](http://www.rbinternational.com) under "[Data protection](#)".