

Top 5 Execution Venues / Broker Report

Order and trade data from 01/2021 – 12/2021

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| **Asset Class (RTS28): Equities – Shares & Depositary Receipts – Tick size liquidity bands 5-6\*** |  |  |  |  |  |  |
| **Client Classification: Retail** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
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| **Asset Class (RTS28): Equities – Shares & Depositary Receipts – Tick size liquidity bands 5-6\*** |  |  |  |  |  |  |
| **Client Classification: Professional** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
| 1. Raiffeisen Centrobank
 | 529900M2F7D5795H1A49 | 54,44% | 92,44% | No Data | No Data | 0,00% |
| 1. SOCIETE GEN, LONDON +
 | O2RNE8IBXP4R0TD8PU41 | 45,56% | 7,56% | No Data | No Data | 0,94% |
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| **Asset Class (RTS28): Equities – Shares & Depositary Receipts – Tick size liquidity bands 3-4\*** |  |  |  |  |  |  |
| **Client Classification: Retail** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
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| **Asset Class (RTS28): Equities – Shares & Depositary Receipts – Tick size liquidity bands 3-4\*** |  |  |  |  |  |  |
| **Client Classification: Professional** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
| 1. SOCIETE GEN, LONDON +
 | O2RNE8IBXP4R0TD8PU41 | 65,13% | 12,14% | No Data | No Data | 5,97% |
| 1. Raiffeisen Centrobank
 | 529900M2F7D5795H1A49 | 34,87% | 87,86% | No Data | No Data | 10,00% |
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| **Asset Class (RTS28): Equities – Shares & Depositary Receipts – Tick size liquidity bands 1-2\*** |  |  |  |  |  |  |
| **Client Classification: Retail** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
| 1. Raiffeisen Centrobank
 | 529900M2F7D5795H1A49 | 100,00% | 100,00% | No Data | No Data | 0,00% |
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| **Asset Class (RTS28): Equities – Shares & Depositary Receipts – Tick size liquidity bands 1-2\*** |  |  |  |  |  |  |
| **Client Classification: Professional** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
| 1. SOCIETE GEN, LONDON +
 | O2RNE8IBXP4R0TD8PU41 | 69,86% | 13,98% | No Data | No Data | 0,00% |
| 1. Raiffeisen Centrobank
 | 529900M2F7D5795H1A49 | 30,14% | 86,02% | No Data | No Data | 0,00% |
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| **Asset Class (RTS28): Debt Instruments - Bonds** |  |  |  |  |  |  |
| **Client Classification: Professional** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
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| **Asset Class (RTS28): Debt Instruments - Bonds** |  |  |  |  |  |  |
| **Client Classification: Professional** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
| 1. Raiffeisen Centrobank
 | 529900M2F7D5795H1A49 | 100,00% | 100,00% | No data | No data | 3600,00% |
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| **Asset Class (RTS28): Securitized derivatives – Warrants and certificate derivatives** |  |  |  |  |  |  |
| **Client Classification: Retail** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
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| **Asset Class (RTS28): Securitized derivatives – Warrants and certificate derivatives** |  |  |  |  |  |  |
| **Client Classification: Professional** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
| 1. UBS Europe SE
 | 5299007QVIQ7IO64NX37 | 100,00% | 100,00% | No Data | No Data | 100,00% |
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| **Asset Class (RTS28): Securitized derivatives – Other securitized derivatives** |  |  |  |  |  |  |
| **Client Classification: Retail** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
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| **Asset Class (RTS28): Securitized derivatives – Other securitized derivatives** |  |  |  |  |  |  |
| **Client Classification: Professional** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
| 1. Raiffeisen Centrobank
 | 529900M2F7D5795H1A49 | 85,53% | 48,84% | No Data | No Data | 0,00% |
| 1. CACEIS BANK
 | 96950023SCR9X9F3L662 | 12,87% | 25,58% | No Data | No Data | 0,00% |
| 1. HK AND SHANGHAI,KOREA
 | 2HI3YI5320L3RW6NJ957 | 1,12% | 2,33% | No Data | No Data | 0,00% |
| 1. SKANDINAVISKA, COPENH +
 | F3JS33DEI6XQ4ZBPTN86 | 0,47% | 2,33% | No Data | No Data | 0,00% |
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| **Asset Class (RTS28): Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)** |  |  |  |  |  |  |
| **Client Classification: Retail** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
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| **Asset Class (RTS28): Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)** |  |  |  |  |  |  |
| **Client Classification: Professional** |  |  |  |  |  |  |
| **<1 average trade per business day: no** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
| 1. Jane Street Financial LTD
 | 549300ZHEHX8M31RP142 | 60,21% | 39,05% | No Data | No Data | 2,26% |
| 1. FLOW TRADERS BV
 | 549300CLJI9XDH12XV51 | 13,45% | 10,79% | No Data | No Data | 2,68% |
| 1. SOCIETE GEN, LONDON +
 | O2RNE8IBXP4R0TD8PU41 | 11,15% | 12,06% | No Data | No Data | 0,00% |
| 1. SUSQUEHANNA INTERNATIONAL
 | 635400IAV22ZOU1NFS89 | 4,95% | 3,60% | No Data | No Data | 0,00% |
| 1. UNICREDIT BANK, MÜNCHEN
 | 2ZCNRR8UK83OBTEK2170 | 4,58% | 0,63% | No Data | No Data | 20,00% |

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| **Asset Class (RTS28): Equity Derivatives - Options and Futures admitted to trading on a trading venue** |  |  |  |  |  |  |
| **Client Classification: Retail** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
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| **Asset Class (RTS28): Equity Derivatives - Options and Futures admitted to trading on a trading venue** |  |  |  |  |  |  |
| **Client Classification: Professional** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
| 1. Raiffeisen Centrobank
 | 529900M2F7D5795H1A49 | 100,00% | 100,00% | No Data | No Data | 0,00% |
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| **Asset Class (RTS28): Equity Derivatives - Swaps and other equity derivatives** |  |  |  |  |  |  |
| **Client Classification: Retail** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
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| **Asset Class (RTS28): Equity Derivatives - Swaps and other equity derivatives** |  |  |  |  |  |  |
| **Client Classification: Professional** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
| 1. Raiffeisen Centrobank
 | 529900M2F7D5795H1A49 | 100,00% | 100,00% | No Data | No Data | 0,00% |
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| **Asset Class (RTS28): Interest rates derivatives - Futures and options admitted to trading on a trading venue** |  |  |  |  |  |  |
| **Client Classification: Retail** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
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| **Asset Class (RTS28): Interest rates derivatives - Futures and options admitted to trading on a trading venue** |  |  |  |  |  |  |
| **Client Classification: Professional** |  |  |  |  |  |  |
| **<1 average trade per business day: yes** |
| **Ranked in terms of trading volume** | **LEI** | **Proportion of trading volume** | **Proportion of executed orders** | **Proportion of passive orders** | **Proportion of aggressive orders** | **Proportion of directed orders** |
| 1. Raiffeisen Centrobank
 | 529900M2F7D5795H1A49 | 100,00% | 100,00% | No Data | No Data | 0,00% |
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\*Explanation liquidity bands

* 1. Tick size liquidity bands 5 and 6 (from 2.000 trades per day)
	2. Tick size liquidity bands 3 and 4 (from 80 to 1.999 trades per day)
	3. Tick size liquidity bands 1 and 2 (from 0 to 79 trades per day)