

Top 5 Execution Venues / Broker Report

Order and trade data from 01/2021 – 12/2021

Asset Class (RTS28): Equities – Shares & Depositary Receipts – Tick size liquidity bands 5-6*						
Client Classification: Retail						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. -	-	-	-	-	-	-
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Equities – Shares & Depositary Receipts – Tick size liquidity bands 5-6*						
Client Classification: Professional						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. Raiffeisen Centrobank	529900M2F7D5795H1A49	54,44%	92,44%	No Data	No Data	0,00%
2. SOCIETE GEN, LONDON +	O2RNE8IBXP4R0TD8PU41	45,56%	7,56%	No Data	No Data	0,94%
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Equities – Shares & Depositary Receipts – Tick size liquidity bands 3-4*						
Client Classification: Retail						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. -	-	-	-	-	-	-
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Equities – Shares & Depositary Receipts – Tick size liquidity bands 3-4*						
Client Classification: Professional						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. SOCIETE GEN, LONDON +	O2RNE8IBXP4R0TD8PU41	65,13%	12,14%	No Data	No Data	5,97%
2. Raiffeisen Centrobank	529900M2F7D5795H1A49	34,87%	87,86%	No Data	No Data	10,00%
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Equities – Shares & Depositary Receipts – Tick size liquidity bands 1-2*						
Client Classification: Retail						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. Raiffeisen Centrobank	529900M2F7D5795H1A49	100,00%	100,00%	No Data	No Data	0,00%
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Equities – Shares & Depositary Receipts – Tick size liquidity bands 1-2*						
Client Classification: Professional						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. SOCIETE GEN, LONDON +	O2RNE8IBXP4R0TD8PU41	69,86%	13,98%	No Data	No Data	0,00%
2. Raiffeisen Centrobank	529900M2F7D5795H1A49	30,14%	86,02%	No Data	No Data	0,00%
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Debt Instruments - Bonds						
Client Classification: Professional						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. -	-	-	-	-	-	-
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Debt Instruments - Bonds						
Client Classification: Professional						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. Raiffeisen Centrobank	529900M2F7D5795H1A49	100,00%	100,00%	No data	No data	3600,00%
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Securitized derivatives – Warrants and certificate derivatives						
Client Classification: Retail						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. -	-	-	-	-	-	-
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Securitized derivatives – Warrants and certificate derivatives						
Client Classification: Professional						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. UBS Europe SE	5299007QVIQ7I064NX37	100,00%	100,00%	No Data	No Data	100,00%
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Securitized derivatives – Other securitized derivatives						
Client Classification: Retail						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. -		-	-	-	-	-
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Securitized derivatives – Other securitized derivatives						
Client Classification: Professional						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. Raiffeisen Centrobank	529900M2F7D5795H1A49	85,53%	48,84%	No Data	No Data	0,00%
2. CACEIS BANK	96950023SCR9X9F3L662	12,87%	25,58%	No Data	No Data	0,00%
3. HK AND SHANGHAI,KOREA	2HI3YI5320L3RW6NJ957	1,12%	2,33%	No Data	No Data	0,00%
4. SKANDINAVISKA, COPENH +	F3JS33DEI6XQ4ZBPTN86	0,47%	2,33%	No Data	No Data	0,00%
5. -	-	-	-	-	-	-

Asset Class (RTS28): Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)						
Client Classification: Retail						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. -	-	-	-	-	-	-
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)						
Client Classification: Professional						
<1 average trade per business day: no						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. Jane Street Financial LTD	549300ZHEHX8M31RP142	60,21%	39,05%	No Data	No Data	2,26%
2. FLOW TRADERS BV	549300CLJI9XDH12XV51	13,45%	10,79%	No Data	No Data	2,68%
3. SOCIETE GEN, LONDON +	O2RNE8IBXP4R0TD8PU41	11,15%	12,06%	No Data	No Data	0,00%
4. SUSQUEHANNA INTERNATIONAL	635400IAV22ZOU1NFS89	4,95%	3,60%	No Data	No Data	0,00%
5. UNICREDIT BANK, MÜNCHEN	2ZCNRR8UK83OBTEK2170	4,58%	0,63%	No Data	No Data	20,00%

Asset Class (RTS28): Equity Derivatives - Options and Futures admitted to trading on a trading venue						
Client Classification: Retail						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. -	-	-	-	-	-	-
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Equity Derivatives - Options and Futures admitted to trading on a trading venue						
Client Classification: Professional						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. Raiffeisen Centrobank	529900M2F7D5795H1A49	100,00%	100,00%	No Data	No Data	0,00%
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Equity Derivatives - Swaps and other equity derivatives						
Client Classification: Retail						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. -	-	-	-	-	-	-
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Equity Derivatives - Swaps and other equity derivatives						
Client Classification: Professional						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. Raiffeisen Centrobank	529900M2F7D5795H1A49	100,00%	100,00%	No Data	No Data	0,00%
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Interest rates derivatives - Futures and options admitted to trading on a trading venue						
Client Classification: Retail						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. -	-	-	-	-	-	-
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

Asset Class (RTS28): Interest rates derivatives - Futures and options admitted to trading on a trading venue						
Client Classification: Professional						
<1 average trade per business day: yes						
Ranked in terms of trading volume	LEI	Proportion of trading volume	Proportion of executed orders	Proportion of passive orders	Proportion of aggressive orders	Proportion of directed orders
1. Raiffeisen Centrobank	529900M2F7D5795H1A49	100,00%	100,00%	No Data	No Data	0,00%
2. -	-	-	-	-	-	-
3. -	-	-	-	-	-	-
4. -	-	-	-	-	-	-
5. -	-	-	-	-	-	-

*Explanation liquidity bands

- i. Tick size liquidity bands 5 and 6 (from 2.000 trades per day)
- ii. Tick size liquidity bands 3 and 4 (from 80 to 1.999 trades per day)
- iii. Tick size liquidity bands 1 and 2 (from 0 to 79 trades per day)